A Detailed Shariah Analysis of Diplomatic and Political Treaties between the Umayyad Caliphate and Non-Muslim States: Historical Context, Legal Implications, and Contemporary DOI: https://doi.org/10.5281/zenodo.16755244

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Abstract

A Detailed Shariah Analysis of Diplomatic and Political Treaties between the Umayyad Caliphate and Non-Muslim States explores the intricate relationships forged between the Umayyad Caliphate and various non-Muslim entities during its expansive rule from 661 to 750 CE and this period, marked by significant territorial growth and cultural development, necessitated a sophisticated diplomatic approach to navigate interactions with Byzantine, Persian, and local tribes. As the first prominent Islamic dynasty, the Umayyads set critical precedents in diplomacy, blending military strategy with political negotiation in a diverse geopolitical landscape that included Islamic and non-Islamic societies. These diplomatic treaties are analyzed within the context of Shariah principles that governed relations between the Umayyads and their non-Muslim counterparts. Islamic teachings, emphasizing respect for envoys and the necessity of protecting diplomatic representatives, provided a foundational legal and ethical framework for treaty-making. Noteworthy examples include the Treaty of Hudaibiya and the Pact of 'Umar, which reflect a blend of strategic interests and adherence to Islamic law and the complex conditions that arose from these agreements. Implementing principles such as envoy impunity and the humanistic obligations of Muslim rulers highlights the dual role of diplomacy as both a tool for governance and a mechanism for promoting peace. Despite their diplomatic successes, the Umayyad Caliphate's engagements were not without controversy. Scholarly debates have emerged over the authenticity and motivations behind certain treaties, with critiques focusing on religious rhetoric's political appropriation and socio-political emotions' influence during this tumultuous era. The interplay between military actions and diplomatic negotiations, especially in the context of internal dissent and the threat from rival powers, complicates understanding these treaties and their implications for later Islamic states. In sum, this analysis offers a comprehensive examination of the diplomatic strategies employed by the Umayyad Caliphate, illustrating their lasting impact on subsequent Islamic diplomacy and the intricate balance between governance, religious obligations, and the pragmatism required in statecraft. By elucidating the principles and controversies surrounding these treaties, the work contributes to a broader understanding of the dynamics between the Umayyad Caliphate and non-Muslim states and the enduring legacy of Islamic diplomatic practices.

Keywords: Shariah ,Analysis ,Diplomatic ,Political Treaties, Umayyad Caliphate , Non-Muslim

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Historical Context

The Nature of Umayyad-Byzantine Diplomatic Relations from 41-132 AH/661-750 CE Throughout history, both Islamic and non-Islamic states have prioritized diplomatic activities alongside the organization of their armies and fleets. Like other powers, the Umayyad Caliphate engaged in international diplomacy with neighboring political entities, especially those of significant influence. The relationship between the Umayyad Caliphate and the Byzantine Empire, shaped by geographic proximity and frequent conflicts, naturally led to establishing diplomatic ties. These relations encompassed issues related to warfare, peace treaties, and the exchange of prisoners. It is important to emphasize that diplomatic interactions between these two empires were not restricted to times of conflict; periods of peace also fostered the exchange of mutual benefits, whether economic, social, or cultural.

The various forms of communication between the Umayyad Caliphate and the Byzantine Empire can be categorized as follows:

- 1. Embassies: These missions, whether secret or public, were sent to negotiate or resolve critical matters.
- 2. Correspondence included written and oral communications facilitating ongoing dialogue between the two empires.
- 3. Treaties: Political, economic, and cultural agreements were established to address specific issues and maintain stability.

In the following sections, we will explore each form of communication in greater detail to better understand the nature of relations between the Umayyad and Byzantine empires and the objectives they sought to achieve.

1. Diplomatic Embassies:

Diplomatic exchanges often required the dispatch of ambassadors, who negotiated and discussed key issues such as warfare, economic cooperation, and cultural exchanges. These ambassadors played a vital role in maintaining and shaping relations between the two states.

Ambassadors typically concluded their duties when the specific event or mission they were assigned was completed, after which they would return to their respective countries.

From ancient times, the leaders of both the Islamic and Byzantine empires ensured that ambassadors were equipped with official letters that introduced the envoy, outlined the purpose of their mission, and granted them the authority to speak on behalf of their state. Moreover, Muslim and Byzantine ambassadors enjoyed complete diplomatic immunity, as they represented the sovereignty of their states and were accorded the same rights as their nation's leaders.

Significant resources were devoted to diplomatic affairs by both the Umayyad and Byzantine states. For example, Mu'awiya ibn Abi Sufyan, during his tenure as governor of the Levant, allocated funds specifically for the reception and hospitality of ambassadors. He even requested that Caliph Uthman ibn Affan allow him to retain

revenues from certain lands, which would otherwise go to the Hijaz treasury, to cover the costs of diplomatic representation—a request the caliph granted.

Additionally, the Muslims and Byzantines greatly impressed visiting ambassadors by organizing tours of monumental structures and offering gifts showcasing the splendor and prestige of their respective empires. Special accommodations were arranged, translators were provided to assist, and the ambassadors were closely monitored to guard against potential espionage.

There were various diplomatic missions, some conducted in secrecy, while others were publicly dispatched for different purposes. The Byzantine state emphasized ensuring that its ambassadors to the Islamic state displayed exemplary moral character. They were provided with written instructions urging them to maintain high ethical standards and perform their duties honestly and honestly. A notable example is a Byzantine ambassador who passed away in Damascus during the reign of Mu'awiya. In his possession was a tablet inscribed: "When loyalty vanishes, calamity descends. When support dies, revenge thrives. When betrayal prevails, blessings diminish."

During negotiations, ambassadors often played a pivotal role in determining their nation's fate. For example, one of Mu'awiya's envoys was sent to Constantinople to negotiate a truce with the Byzantine authorities. Although Mu'awiya gave him strict orders not to concede on the terms, the envoy ultimately compromised, resulting in an agreement that favored the Byzantines.

One of the most distinguished Umayyad ambassadors to the Byzantine court was the scholar and jurist 'Amir ibn Sharahil al-Sha'bi, who was dispatched on a special mission by Caliph Abd al-Malik ibn Marwan. Al-Sha'bi's wisdom and eloquence greatly impressed the Byzantine emperor. On the other hand, one of the most notable Byzantine ambassadors to the Umayyad court was John, sent to Damascus after the Second Siege of Constantinople, known as the Seven Years' War. John managed to earn the respect and sympathy of Mu'awiya during his mission.

The roles and missions of Umayyad and Byzantine ambassadors were diverse, with key objectives often centered around ending conflicts or securing truces. However, unlike in later periods, no significant diplomatic efforts focused on prisoner exchanges despite the prolonged warfare between the two empires. Only a few references to such exchanges exist. One example, mentioned by al-Tabari in his account of the events of 90 AH, notes: "The Romans captured Khalid ibn Kaysan, commander of the sea, and took him to their king, who then sent him as a gift to al-Walid ibn Abd al-Malik." Muslims were known for their humane treatment of prisoners. During the reign of Mu'awiya ibn Abi Sufyan, the Romans agreed to a truce that required them to pay a sum of money. Mu'awiya took hostages and held them in Baalbek. When the Romans violated the ceasefire, Mu'awiya chose not to harm the hostages in retaliation. Instead, they were released, and Mu'awiya declared, "Loyalty in response to betrayal is better than betrayal in response to betrayal."

The Byzantines also built a dedicated prison for Muslim captives in Constantinople. It

is said there were "four prisons: one for Muslims, one for the people of Tarsus, one for the general population, and one for the head of the guards."

These examples illustrate the various objectives and duties involved in Umayyad-Byzantine diplomatic missions. Ambassadors were critical to state relations, playing a vital role in fostering negotiations and maintaining ties. Recognizing this, both empires invested heavily in these diplomatic efforts, ensuring their success across multiple fronts.

B) Written and Oral Correspondence:

Diplomatic communication between the Umayyad Caliphate and the Byzantine Empire took multiple forms, including written and oral exchanges. Merchants often carried oral messages, forming the postal system's backbone across the regions and facilitating communication between the two powers.

Merchants played a vital role in delivering and transporting both oral and written messages and parcels, often for a fee. Even after establishing an organized postal system (Diwan al-Barid), the Umayyad state relied on these merchants for communication, particularly during the conflict.

Arabic literature references several exchanges between the Umayyad Caliphate and the Byzantine Empire, covering a range of subjects, including religious debates, politics, humor, and economics. One notable example is a letter from the Byzantine Emperor to Mu'awiya, in which he wrote: "Peace be upon you. After that, tell me the word most beloved to God, followed by the second, third, fourth, and fifth, and who among His servants is the most honored."

Another instance is a letter from the Byzantine Emperor to Caliph Abd al-Malik ibn Marwan, where he objected: "You have added something to your official documents that mentions your Prophet, which we dislike. If you do not stop, we will inscribe something that you will find displeasing on our coins."

Similarly, the Byzantine Emperor wrote to Caliph al-Walid ibn Abd al-Malik after demolishing a church in Damascus: "You have destroyed the church that your father chose to preserve. If he was correct, you are wrong, but if he was wrong, you have contradicted him."

This demonstrates the diverse nature of diplomatic correspondence between the two empires, highlighting how even religious and cultural differences were negotiated through written communication.

Interestingly, despite al-Walid ibn Abd al-Malik (86-96 AH) provoking the anger of the Byzantine Emperor with some of his actions, he had earlier written to the emperor in 38 AH to inform him of his plans to renovate the Prophet's Mosque. Al-Walid requested assistance for the project, and the Byzantine Emperor responded by sending 100,000 gold mithqals, 100 skilled workers, and 40 camels loaded with mosaics. Additionally, al-Walid instructed that the mosaics be gathered from cities that had fallen into ruin.

The Umayyad Caliphate, established after the death of the Islamic prophet

Muhammad, marked a significant era in Islamic history. It was the second caliphate, following the Rashidun Caliphate, and was ruled by the Umayyad dynasty, which introduced dynastic rule through Mu'awiya I after the First Fitna in 661

The Umayyads expanded their territory extensively, encompassing vast regions across North Africa, the Iberian Peninsula, and parts of Asia, with their capital centered in Damascus. At its height, the caliphate covered approximately 11,100,000 km², making it one of the largest empires in history

Under the Umayyads, military campaigns facilitated the expansion of Islamic rule, including conquests in Ifriqiya, Transoxiana, and Sind. The military successes were often paired with political and cultural developments, which saw the emergence of significant Islamic centers of learning and governance

Despite this, the Umayyad era was also characterised by political turmoil, marked by internal strife such as the Second Fitna and notable military defeats, including the Battle of Tours in 732, which halted further expansion into Western Europe. The period is often viewed through a dual lens of military aggression and cultural flourishing. The Umayyads oversaw the establishment of the Cordoba Caliphate in the Iberian Peninsula after their dynasty was toppled by the Abbasids in 750, which became known for its religious tolerance and cultural advancements during the Islamic Golden Age

This context of expansion and cultural development laid the groundwork for the diplomatic relationships that the Umayyad Caliphate formed with various non-Muslim states, reflecting both the complexities of governance and the strategic interests of the caliphate in a diverse and often tumultuous geopolitical landscape

In exploring the Umayyad Caliphate's diplomatic efforts, it is crucial to understand the broader historical dynamics that shaped these interactions, including the sociopolitical environment of the time and the evolving nature of Islamic governance as it sought to manage a multicultural empire while simultaneously addressing internal dissent and external threats.

Shariah Principles Governing Diplomatic Treaties

Overview of Diplomatic Principles in Islam:Islamic teachings emphasize respect for representatives and envoys from other nations, religions, and ethnicities. The Holy Prophet Muhammad (PBUH) established a code of conduct for diplomatic relations, which is evident in Quranic verses such as Surat An-Naml, verse 125, which states, "Invite to the way of your Lord with wisdom and good instruction, and argue with them in a way that is best."

This highlights the importance of wisdom and kindness in diplomatic communications. Envoy Impunity and Treaties

The principle of impunity for envoys is a fundamental aspect of Islamic diplomacy. The Prophet Muhammad (PBUH) viewed the protection of envoys as a duty, asserting that their safety must be guaranteed even in times of conflict. Historical evidence indicates that Islamic international law significantly influenced the development of

diplomatic and consular law, ensuring the observance of envoy impunity in peace and war.

Case Study: Treaty of Hudaibiya

A notable example of diplomatic strategy is the Treaty of Hudaibiya, signed in 628 CE between the Prophet Muhammad (PBUH) and the Quraysh tribe. This ten-year peace agreement demonstrated the effectiveness of diplomatic negotiations despite initial resistance

The treaty allowed the Prophet to strengthen the Muslim community and illustrated the importance of treaties in Islamic governance.

Human Rights and Shariah

Islamic law also encompasses a humanistic perspective on governance, which necessitates that the rights of all individuals, including non-Muslim citizens, be respected. Scholars like Muhammad al-Shaybani emphasized that Muslim leaders must protect those living under their jurisdiction, regardless of their faith, thus reinforcing the principle of equality and justice in diplomatic relations.

The Principle of Necessity

The concept of necessity (darura) within Islamic jurisprudence allows for deviations from established legal rulings in exceptional circumstances. This principle is vital in diplomatic contexts where adhering strictly to the law might jeopardize a community's peace or welfare. Such flexibility demonstrates the Shari'ah's adaptability to real-world situations while upholding its core objectives, including protecting life, property, and faith.

Political Relations Between the Umayyad Caliphate and the Byzantine Empire (40-130 AH / 661-750 CE)

1) Diplomatic Relations During the Reign of Caliph Mu'awiya ibn Abi Sufyan $\,$ (41-60 AH / 661-680 CE)

The political relations between the Umayyad Caliphate and the Byzantine Empire were primarily shaped by formal treaties outlining specific conditions that both parties were expected to honor. In other cases, truces were negotiated to serve the mutual interests of both empires. Diplomatic missions also played a crucial role, with envoys dispatched by both sides to negotiate critical matters, such as ceasing hostilities or initiating peace agreements. Negotiations further reinforced these diplomatic efforts, a crucial element in the management of both domestic and foreign relations between states.

During the reign of Mu'awiya ibn Abi Sufyan, the founder of the Umayyad Caliphate, he strategically ensured that he held the initiative, using it to exert pressure on the Byzantine Empire consistently. He aimed to force the Byzantines into a defensive position, weakening them materially and morally. Mu'awiya remained committed to his long-term objective of weakening the Byzantine Empire, preparing for its eventual downfall and the conquest of its capital, particularly after their defeat in the Battle of the Masts.

passing.

Mu'awiya dispatched a reconnaissance mission led by Fadala ibn Ubayd al-Ansari, who successfully breached the Byzantine defenses and advanced to Chalcedon, a suburb of Constantinople. There, he awaited reinforcements from the caliph, led by Sufyan ibn 'Awf and commanded by Mu'awiya's son, Yazid, in the year 49 AH (some sources state 50 AH). The two armies united, and the Muslim forces came close to capturing the Byzantine capital. However, severe weather conditions and the robust defenses of Constantinople thwarted their efforts.

Undeterred by this setback, Mu'awiya prepared an even more extensive fleet and launched a second siege on Constantinople, which spanned from 54 to 60 AH. Despite the prolonged assault, Emperor Constantine IV managed to defend the city with remarkable resilience. The turning point came when the Byzantines deployed Greek fire, a novel and devastating weapon that the Arab forces had never encountered before. The Muslim fleet, suffering heavy losses, was ultimately forced to retreat. Both empires, facing internal pressures, soon entered into negotiations. These discussions resulted in a peace agreement, enabling the Muslim army and navy to withdraw to the Levant. Mu'awiya, realizing that the siege had dragged on for seven years without success and sensing the nearness of his death, believed it was in the Muslims' best interest to retreat to prevent potential issues for his son Yazid after his

The Byzantine Empire was equally eager to end the siege, as it had drained its resources. They reportedly sent a skilled and intelligent diplomat named John to Damascus. John attended several meetings with prominent Umayyad leaders, showing deep respect for the Islamic state, which earned him Mu'awiya's admiration. His negotiations led to a thirty-year peace treaty. After the treaty was signed, the Muslim forces stationed by land and sea withdrew from Constantinople, leaving the Byzantine capital battered and struggling to recover.

2) Diplomatic Relations During the Reign of Caliph Abd al-Malik ibn Marwan (65-86 AH / 685-705 CE)

Following the return of the Muslim army from its siege of Constantinople during Mu'awiya ibn Abi Sufyan's reign, the anticipated passing of Mu'awiya in 60 AH threw the Umayyad state into a period of unrest. This era was characterized by internal conflicts and numerous revolts, destabilizing the state until the later years of Abd al-Malik ibn Marwan's caliphate.

In response to these challenges and the weakened state of the Islamic empire, Abd al-Malik found it necessary to negotiate a treaty with Byzantine Emperor Justinian II. The terms of the agreement involved the payment of a substantial tribute, the sharing of revenue from the province of Armenia, and the relocation of the Mardaites from northern Syria. While the treaty was intended to last for ten years, Justinian's failure to successfully relocate the Mardaites led to the truce being broken after only one year. Diplomatic Relations During the Reign of Caliph al-Walid ibn Abd al-Malik (86-96 AH / 705-715 CE)

Caliph Abd al-Malik ibn Marwan left his son, al-Walid ibn Abd al-Malik, a powerful and respected empire. Under al-Walid's reign, the Umayyad state experienced substantial military expansion across multiple fronts, with the conquest of Constantinople as one of his key objectives. He continued his father's strategy of exerting constant pressure on the Byzantine Empire by capturing significant fortresses and strongholds. Among the most crucial was the fortress of Tyana, considered the gateway to the road between Syria and the Bosphorus Strait. Despite fierce resistance from the Byzantines, Islamic forces eventually seized it.

Throughout al-Walid's caliphate, his army almost annually captured new fortresses, strongholds, or cities on the Byzantine frontier. This relentless military campaign prompted Byzantine Emperor Anastasios II to send an embassy to Damascus to assess the situation and propose a truce. Upon arriving in Damascus, the Byzantine envoy was struck by the grandeur of the Muslim capital and witnessed al-Walid's vigorous preparations for an advance on Constantinople. Realizing the depth of the Muslims' commitment to jihad, the envoy returned to the emperor, urging him to take the threat seriously and highlighting the Muslims' resolve for further military action.

This refined version emphasizes the continuity of military strategy and the diplomatic consequences of al-Walid's aggressive policies.

Diplomatic Political Relations During the Reign of Caliph Umar ibn Abd al-Aziz (99-101 AH / 717-720 CE)

The ascension of Caliph Umar ibn Abd al-Aziz marked a significant shift in the political relations between the Umayyad Caliphate and the Byzantine Empire. This transformation stemmed from Umar ibn Abd al-Aziz's unique approach to foreign policy, which starkly contrasted with his predecessors.

Known for his aversion to violence, Umar ibn Abd al-Aziz's wisdom and foresight led him to recognize that many of the conflicts and mistakes made by earlier Umayyad rulers with the Byzantine Empire were mainly due to the vast territorial expansion of the Islamic state. He believed it prudent to cease further military conquests and focus on addressing the Islamic State's internal challenges. Additionally, he advocated for spreading Islam to neighboring nations through peaceful means, relying on diplomacy and dialogue rather than force or warfare.

This shift in strategy under Umar's reign emphasized a more diplomatic and conciliatory approach, aiming for long-term stability and peaceful relations with the Byzantine Empire and other neighboring states.

It is said that Caliph Umar ibn Abd al-Aziz made significant efforts to foster good relations with Byzantine Emperor Leo III, even inviting him to embrace Islam. Diplomatic exchanges between the two empires were notably peaceful during Umar's reign. One memorable instance involved a delegation of ten men visiting the Umayyad Mosque, awestruck by its artistic grandeur. The leader of the delegation fainted, and when asked by his companions what caused his reaction, he replied, "When I saw what

they had built, I realized they would endure for a long time, and that overwhelmed me."

Unfortunately, this era of peaceful diplomacy was short-lived. After ruling for over two years, Umar ibn Abd al-Aziz passed away. He was succeeded by Yazid ibn Abd al-Malik, under whose rule relations with the Byzantine Empire began to deteriorate. Diplomatic Political Relations During the Reign of Caliph Yazid ibn Abd al-Malik (101-105 AH / 720-724 CE)

Under Caliph Yazid ibn Abd al-Malik, hostilities between the Umayyad Caliphate and the Byzantine Empire reignited, contrasting with the relative peace that had prevailed during the reign of Caliph Umar ibn Abd al-Aziz. The conflict was marked by fluctuations, with the Muslims occasionally securing significant victories over the Byzantines. During Yazid's reign, one notable example was a military campaign successfully capturing critical positions in Asia Minor. However, some battles remained inconclusive, resulting in the occupation of fortresses or cities without a definitive outcome.

This ebb and flow continued until the Battle of Akroinon (or Rabadh Aqaran) in 122 AH, near Amorium. The Muslim forces suffered substantial losses in this battle, and many historians consider it one of the final significant confrontations between the Umayyads and the Byzantines. The battle marked the beginning of a retreat by the Umayyads from central Asia Minor and shifted the balance of power in favor of the Byzantines. It also signaled the gradual decline of the Umayyad Caliphate.

The overall relations between the Umayyad Caliphate and the Byzantine Empire during this period were predominantly adversarial, characterized by continuous warfare as both sides sought to dominate the other. While there were occasional periods of truce and peace agreements brokered through diplomatic efforts and treaties, these were short-lived, and the conflict would inevitably resume. Furthermore, not all Umayyad caliphs were heavily involved in Byzantine relations, as many were focused on internal challenges such as maintaining stability and quelling revolts. Additionally, the relatively short reigns of certain Umayyad rulers limited their ability to engage extensively in foreign affairs.

Analysis of Specific Treaties

Treaty Variants and Conditions

The analysis of treaties from the Umayyad period reveals complex and often contradictory conditions, particularly in the case of agreements involving Hasan and Mu'awiya. Veccia Vaglieri highlights significant variations in the early sources, questioning the authenticity of several stipulations such as an annual payment of one or two million dirhams to Hasan, a one-time fee of five million dirhams from the Kufa treasury, and specific provisions for revenues from various districts in Persia. [12]

The potential succession of Hasan to Mu'awiya or the establishment of a council (shura) after Mu'awiya further complicates the understanding of these treaties, as does

the suggestion that Mu'awiya might have been required to cease the ritual cursing of Ali in mosques

Military Conflicts and Treaties

The military engagements during the Umayyad Caliphate also influenced treaty negotiations. Following a defeat, the Umayyad forces, under commanders such as Hassan bin Nu'man, faced resistance from local Berber tribes led by a queen referred to as Kāhinah. Initial successes were soon countered, culminating in her defeat by 689 CE, which saw her domain effectively dismantled and many Berbers taken captive.

Despite these conflicts, the Umayyad Caliphate's continued military campaigns, such as the raids against the Sanhaja Berbers in 701 CE, highlight a persistent attempt to solidify control over North Africa and establish a presence in Europe.

The Pact of 'Umar

The origins of the Pact of 'Umar still need to be discovered, with varying scholarly opinions regarding its authenticity. Anver M. Emon notes that discussions among historians reveal debates on whether the pact originated during Umar b. al-Khattab's reign or was a later fabrication aimed at conferring greater legitimacy to the dhimma contract

Furthermore, scholars like Bernard Lewis suggest that the regulations attributed to 'Umar may have evolved, with later generations imposing restrictions that did not originally exist

This highlights the dynamic and often retrospective nature of treaties between Muslim states and non-Muslim entities.

Impact of Treaties on Regional Dynamics

The outcomes of these treaties had far-reaching implications for regional power dynamics. The humiliating conditions imposed by specific peace accords enhanced the status of the Byzantine Empire in the eyes of neighboring states, prompting reaffirmations of peace agreements with the Avar Khanate and other rulers along the Byzantine borders

The interplay of diplomacy and military action underlined the complex relationships between the Umayyad Caliphate, Byzantine Rome, and various non-Muslim states during this period.

Diplomatic Strategies Employed

Early Diplomatic Contacts

Emperor Theophilos of Byzantium primarily initiated diplomatic initiatives during the ninth century. These contacts were aimed at establishing alliances against the Abbasids, who threatened the Byzantine Empire and the Umayyad Caliphate in al-Andalus. Ibn Hayyān of Cordoba, an eleventh-century historian, recounts a significant embassy from the Byzantine capital to al-Andalus, facilitated by al-Ghazāl's stay in Constantinople. This mission aimed to strengthen ties with 'Abd al-Raḥmān II in response to the 'Abbasid conquest of Amorium in 838 and the loss of Crete to Andalusian adventurers around 827. Control over Crete was pivotal for trade

between the Mediterranean and Aegean Seas, allowing for a degree of influence over maritime routes, including entry into the Propontis Sea (now the Sea of Marmara) Renewed Diplomatic Exchanges

The diplomatic relations between the Umayyads and Byzantium were revitalized during the reign of 'Abd al-Raḥmān III and Emperor Constantine VII Porphyrogennetos. Between 946 and 972, several missions exchanged hands, reflecting mutual political interests. Byzantine and Arab sources document these exchanges, highlighting their ceremonial nature while acknowledging the underlying political motivations. For instance, the work "De Ceremonies" by Constantine VII records the arrival of a Cordovan mission to Constantinople between 946 and 949, which is also corroborated by Arab historians such as Ibn Ḥayyān, Ibn Khaldūn, and others who detail the receptions of Byzantine ambassadors.

Political Context and Motivations

In the tenth century, they presented a complex political landscape in the Mediterranean, prompting the Umayyads and the Byzantines to engage in diplomatic dialogues. The sources need to explicitly outline the political motivations for these exchanges, raising questions about the shared interests of both powers. However, it is evident that the strategic significance of alliances and the pursuit of mutual benefits, including economic prosperity and security, drove these diplomatic efforts. The exchanges between the two powers indicate a recognition of the necessity for stable governance and external relations to ensure peace and prosperity in their respective regions.

Impact on Relations with Other States

The Umayyad Caliphate maintained strong diplomatic ties not only with Byzantium but also with other neighboring states. Under 'Abd al-Raḥmān II, numerous official envoys from various European courts visited Cordoba. This trend continued and intensified under 'Abd al-Raḥmān III, indicating a broader strategy to enhance diplomatic relations and foster cooperation across the Mediterranean.

The ability to negotiate and establish treaties with both Christian and Islamic states underscored the diplomatic acumen of the Umayyad rulers, contributing significantly to the stability and prosperity of al-Andalus during this period.

Impact on Subsequent Islamic Diplomacy

Historical Context

The diplomatic practices established during the Umayyad Caliphate set foundational precedents for subsequent Islamic diplomacy. Following the rapid expansion of Muslim rule after the death of the Prophet Muhammad, the early Islamic state engaged in various diplomatic strategies to connect with non-Muslim territories. These strategies included peace treaties and formal diplomatic engagements that respected subject populations' rights and religious practices, thereby facilitating peaceful coexistence between Islamic and non-Islamic states.

Principles of Diplomacy

During the Umayyad era, the prince's practice of diplomacy emphasized respect for envoys and representatives of other states, a practice deeply rooted in Islamic teachings. The Prophet Muhammad (PBUH) articulated the importance of treating diplomats with honor and impunity, establishing a framework to guide later Islamic diplomacy. This is evidenced by Quranic verses such as Surat An-Naml, which advocates for wisdom and good instruction in dialogues with others.

These principles contributed to a diplomatic culture, allowing more stable relationships between Islamic states and non-Muslim neighbors.

Development of Treaties

The Umayyad approach to treaties often involved conditions that protected the rights of the conquered peoples, allowing them to retain their religious practices as long as they paid taxes. This pragmatic approach facilitated the expansion of Islamic governance and provided a model for future caliphates to follow. The preference for negotiations and treaties over outright warfare helped to solidify Islamic influence across diverse regions, highlighting the efficacy of diplomacy in statecraft during this period.

Legacy and Influence

The diplomatic legacy of the Umayyad Caliphate profoundly influenced later Islamic states, including the Abbasids and Ottomans. These subsequent empires continued to apply the principles of respect and engagement laid out by their predecessors. Moreover, the administrative practices established during the Umayyad period allowed for a more centralized and organized approach to diplomacy, making it easier for later Islamic leaders to manage relations with Muslim and non-Muslim entities.

Critiques and Controversies

The intersection of politics and religious ideology in the context of the Umayyad Caliphate's diplomatic engagements has sparked considerable debate among scholars. One of the central critiques involves the appropriation of religious terminology to defame political opponents. This trend became particularly pronounced in the aftermath of the Second Arab Civil War, where accusations of deviating from Islam were leveled by factions against one another, obscuring the political motivations behind these claims.

Such vague insinuations suggest that religion served as a predominant ideological framework, allowing various political actors to manipulate religious sentiments for their agendas

Furthermore, scholars have noted the significant influence of collective emotions—fear, hate, love, greed, and jealousy—on political movements during this period. The complexities of these emotional dynamics reveal that many pivotal political texts were often shaped more by fiction than by factual accounts, suggesting a more profound cultural interplay within the political landscape

The Kharijites, for instance, during the reign of Yazid, exemplified how political dissent could lead to violent outcomes, highlighting the precarious balance between governance and rebellion

Contentious discussions regarding the legitimacy of diplomatic treaties also mark the historical context of the Umayyad Caliphate's relations with non-Muslim states. For instance, Nathan Brown's research critiques the imposition of European legal systems by local Egyptian Muslim officials during British colonialism, arguing that this shift undermined traditional Islamic legal frameworks

This critique extends to the broader implications of colonial influences, which complicated the historical understanding of Islamic law's adaptability to modern governance. Moreover, the diplomatic exchanges with Byzantium, particularly under 'Abd al-Raḥmān III, have raised questions about the political motivations behind such engagements. These exchanges were often laden with ceremonial significance, yet they also served strategic purposes, such as forming alliances against common threats, exemplifying the delicate balance between diplomatic formality and political expediency

At the culmination of this research, and at the close of a journey that, in the quest for truth, is ever ongoing, the researcher has arrived at the following conclusions:

Political, economic, and cultural relations between the Umayyad Caliphate and the Byzantine Empire were shaped through interactions between Arab Muslim leaders who governed the Umayyad state and Byzantine officials who ruled over Roman territories.

All Umayyad caliphs did not uniformly maintain these relations; some deeply suppressed internal revolts and uprisings, while others prioritized diplomacy and peace over continual warfare and conflict.

In examining the achievements of these caliphs, students need to consider the period of political instability that preceded their reigns. The Umayyad era was marked by frequent revolts and rebellions, driven by the proliferation of political factions and deep-seated rivalries, which often hindered certain caliphs from fully participating in diplomatic efforts.

The study also emphasizes that, despite the predominance of military conflict between the two empires, such hostilities did not prevent the development of political, economic, or cultural relations that served the mutual interests of both sides.

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